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PATENT
717-429P

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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: H. KAWANISHI et al.
Appl. No.: 09/466,174 Group: UNKNOWN
Filed: December 17, 1999 Examiner: UNKNOWN
For: SEMICONDUCTOR LASER DEVICE WITH SPOT-
SIZE CONVERTER AND METHOD FOR
FABRICATING THE SAME

THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 37 C.F.R. § 1.53(f)

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

February 17, 2000

Sir:

The application papers for the above-identified application were originally filed on December 17, 1999 and the application was assigned Appl. No. 09/466,174.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☐ original ☒ photocopy), necessary for completing the filing requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on December 17, 1999, including any amendments thereto (if applicable) filed on even date therewith.



Appl. No. 09/466,174

☒ The undersigned hereby declares that "Attorney Docket No. 717-429P on page 1 of the inventors' Declaration, filed on February 17, 2000, corresponds to Appl. No. 09/466,174, filed December 17, 1999, entitled "SEMICONDUCTOR LASER DEVICE WITH SPOT-SIZE CONVERTER AND METHOD FOR FABRICATING THE SAME."

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☐ Attached are _____ () sheet(s) of formal drawings.

☐ Attached is a copy of Form PTO-1533.

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The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

☐ Filing Fee \$0.00

☒ Surcharge (☒ Large Entity - \$130.00;
☐ Small Entity - \$ 65.00)

☐ Attached hereto is a Statement Claiming Small Entity Status (☐ original ☐ photocopy).

☐ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Application (Form PTO-1533). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

☐ Applicant hereby respectfully petitions for a () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

☒ A check in the amount of \$130.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 

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Attachments